

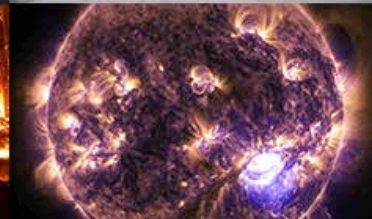
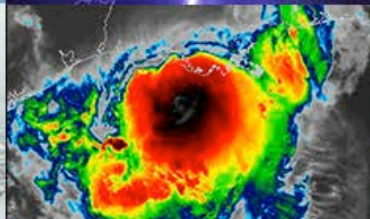
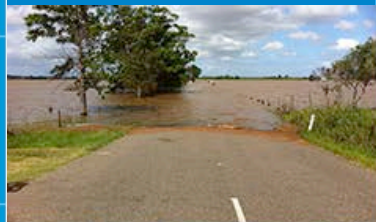


**NATIONAL
WEATHER
SERVICE**

Data Assimilation for the Unified Forecast System: Plans for GFS Version 16 and Progress with JEDI

EMMDA, Delhi, India
Monday, 24 February 2020

Daryl Kleist (NOAA/NWS/NCEP/EMC)
Chief, Data Assimilation and QC Group
On behalf of the entire EMC data assimilation team and collaborators

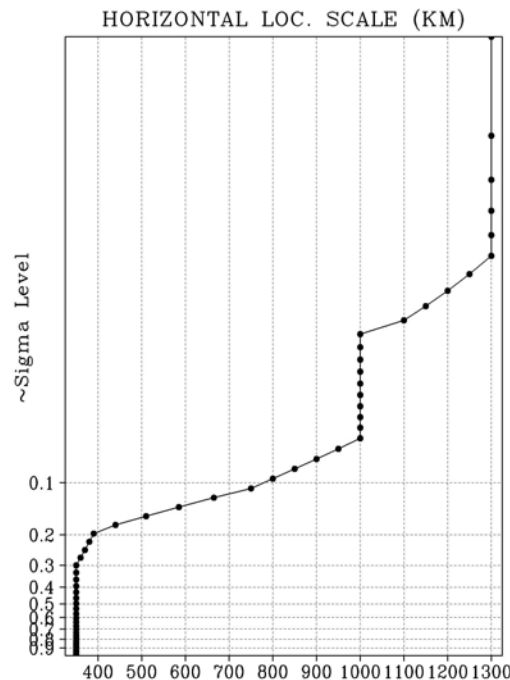


Current Operational GDAS (Hybrid 4DEnVar)

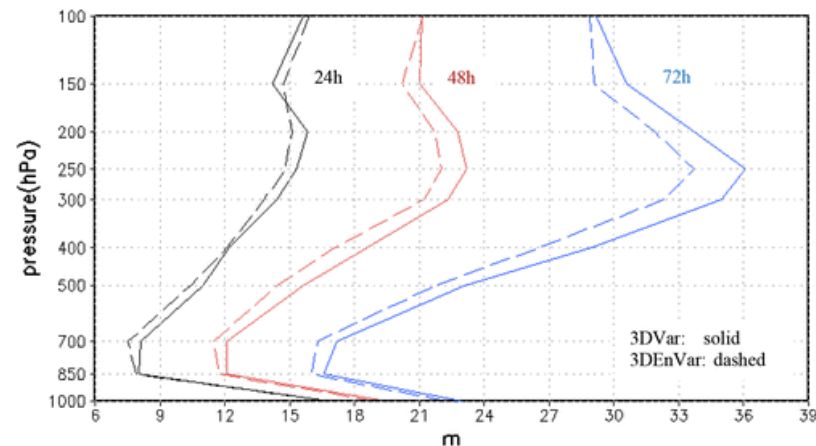
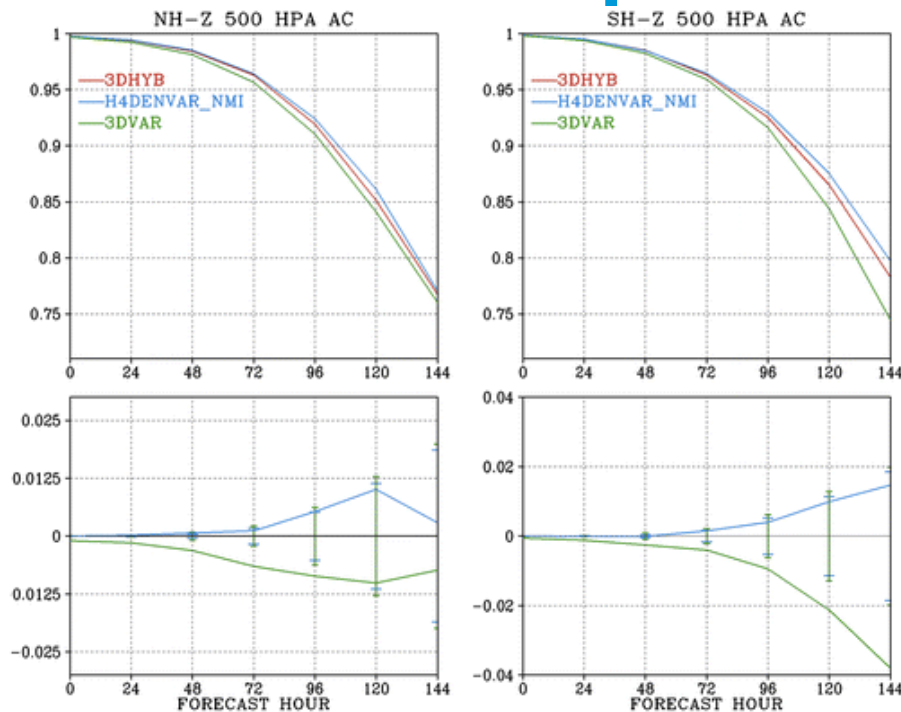
$$J(\mathbf{x}'_c, \mathbf{a}) = \beta_c \frac{1}{2} (\mathbf{x}'_c)^T \mathbf{B}_c^{-1} (\mathbf{x}'_c) + \beta_e \frac{1}{2} \mathbf{a}^T \mathbf{L}^{-1} \mathbf{a} + \frac{1}{2} \sum_{k=1}^K (\mathbf{H}_k \mathbf{x}'_{(t)k} - \mathbf{y}'_k)^T \mathbf{R}_k^{-1} (\mathbf{H}_k \mathbf{x}'_{(t)k} - \mathbf{y}'_k)$$
$$\mathbf{z} = \mathbf{B}^{-1} \mathbf{x}'_c \quad \mathbf{v} = \mathbf{L}^{-1} \mathbf{a}$$

C768L64 (~12km) FV3-based GFS

- 80 member **C384L64 (~25km)** EnSRF for data assimilation
- Level-dependent localization
- Stochastic physics to represent model uncertainty (SPPT, ~~SKEB~~, SHUM) – Since January 2015 + RTPS (no more additive perturbations)
- Analysis increment at ensemble resolution
- Ensemble perturbations centered about hybrid analysis
 - Ensemble mean state estimate replaced



Ensemble Importance for Forecast Skill



Wu et al. (2017), Fig. 10: Impact of using global ensemble perturbations in regional hybrid EnVar (forecast fits to geop. height, radiosondes). This has also been realized in other regional systems at NOAA (RA

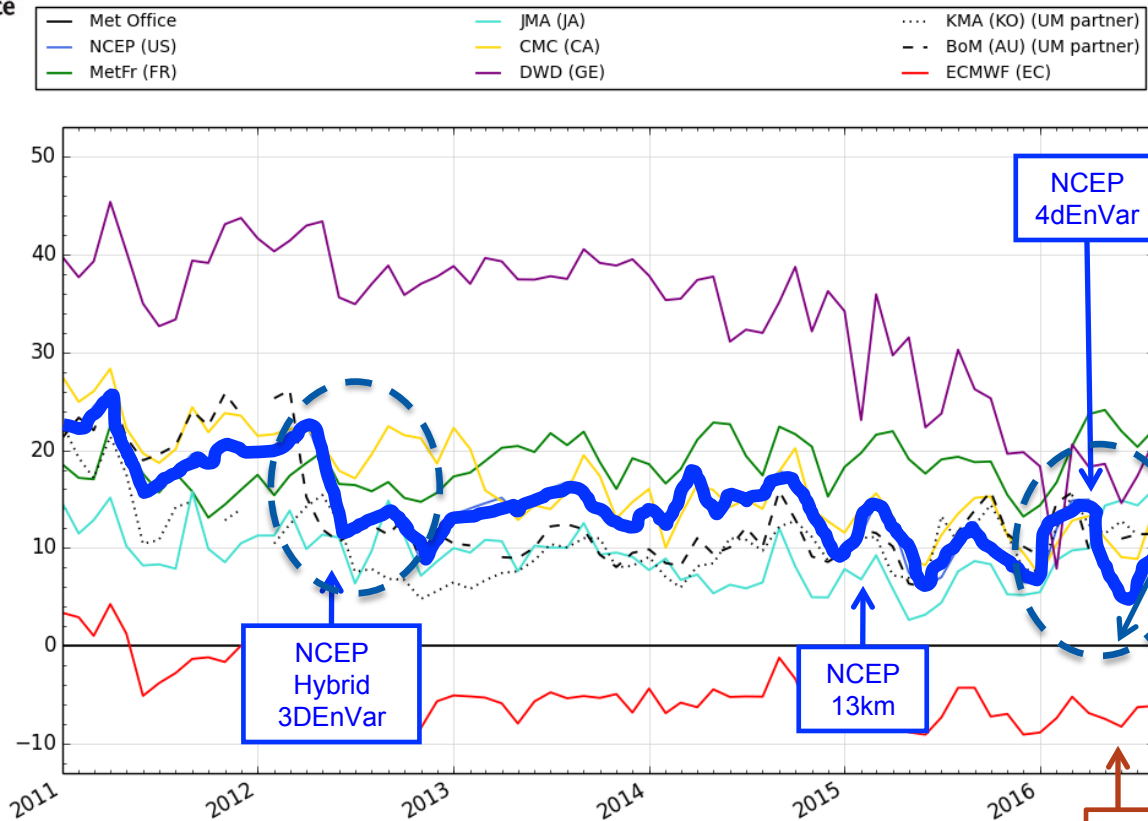
Kleist and Ide (2015), Fig. 10: OSSE based study. Comparison of skill from **3DVar**, **Hyb 3DEnVar**, and **Hyb 4DEnVar** for simulated August 2005.



CBS ranking relative to Met Office, 00Z-12Z
Combined Areas

*Running Relative NWP Index, Courtesy
Dale Barker and Gilbert Brunet*

Skill* Difference (%) relative to Met Office



PS37

* Parameters: Surface pressure, 500hPa geopotential height, 250hPa/850hPa Winds; Forecast ranges from T+24h to T+120h

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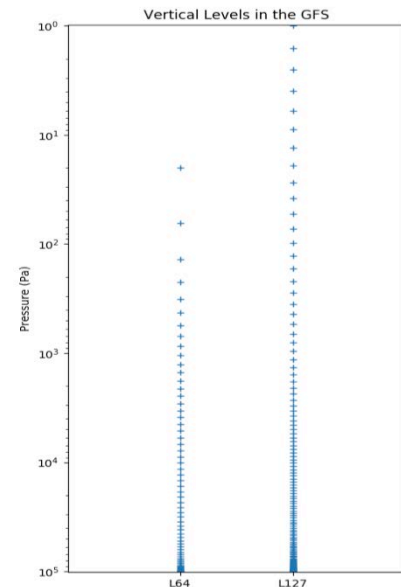
Building a Weather-Ready Nation // 4

Recent and Planned Upgrades to the GFS

- GFSv15.1 (June 2019)
 - **Initial implementation of FV3-based GFS**
 - Increase analysis increment & ensemble resolution
 - No DFI
- GFSv15.2 (November 2019)
 - Observation changes: GOES-17 AMVs, KOMPSAT-5 GPS, METOP-C AMSU-A and MHS
 - NSST Updates
- GFSv15.3 (TBD)
 - Observation changes: COSMIC-2, METOP-C GRAS and IASI

GFSv16 (Early 2021)

- GSI-based Hybrid 4DEnVar
- **127 Layers, ~80km model top + Updated physics**
- Modulated-ensemble LETKF (model space localization)
- 4D-IAU
- Inter-channel correlated observation errors
- VarQC re-design
- LDAS
- New / Additional Observations
- SKEB



Background Errors for L127

(Cathy Thomas)

Experimented with two methods of generating the static background error:

NMC method

- Preliminary lagged pair database (24 - 48 hour forecasts)
- Initialized from MERRA initial conditions to mitigate issues above current GFS top
- 2 months of forecasts, one winter and one summer, 00z only

Ensemble perturbation method

- Ensemble forecast database
- 6 hour forecasts
- 80 ensemble members
- 2 months of cycling, one winter and one summer
- Upper layers had increased damping

Acknowledgements:

- *MERRA conversion utility was provided by Valery Yudin (CU-CIRES)*
- *The NMC_Bkerror utility was updated to read NEMSIO by Razvan Stefanescu (Spire)*

Ensemble Spread at Model Top

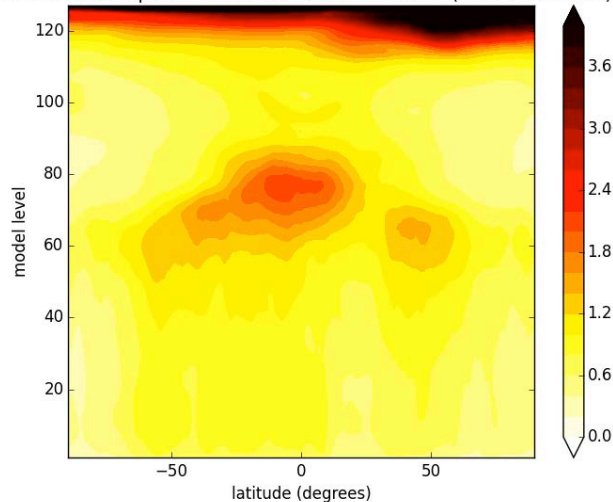
(Cathy Thomas & Jeff Whitaker)

Initial attempts at cycling (with ensemble) were poor due to large spread in upper layers.
Needed to modify divergence damping and Rayleigh damping.

Ensemble Spread (v) for 6-h Forecast

Default, initial settings

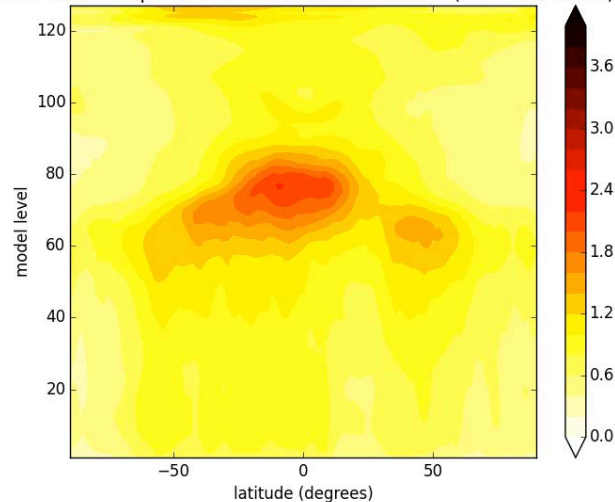
v 6-h forecast spread 2018120212-2018120512 (max value 5.96)



Ensemble Spread (v) for 6-h Forecast

After modifying damping

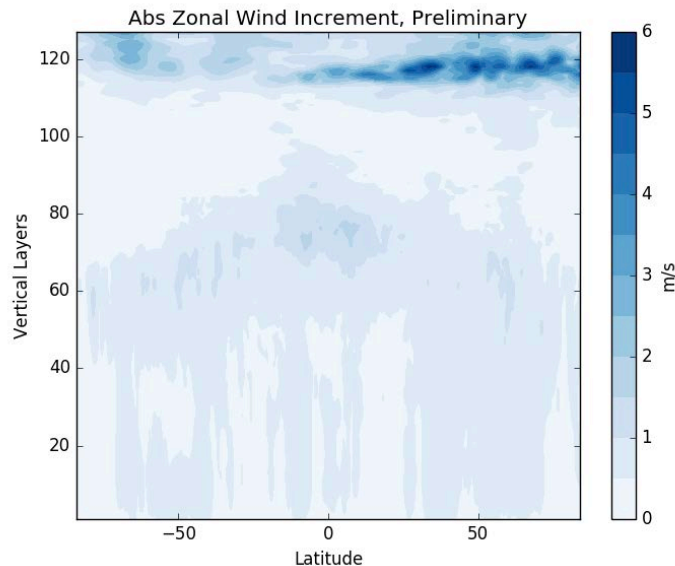
v 6-h forecast spread 2018120212-2018120512 (max value 2.21)



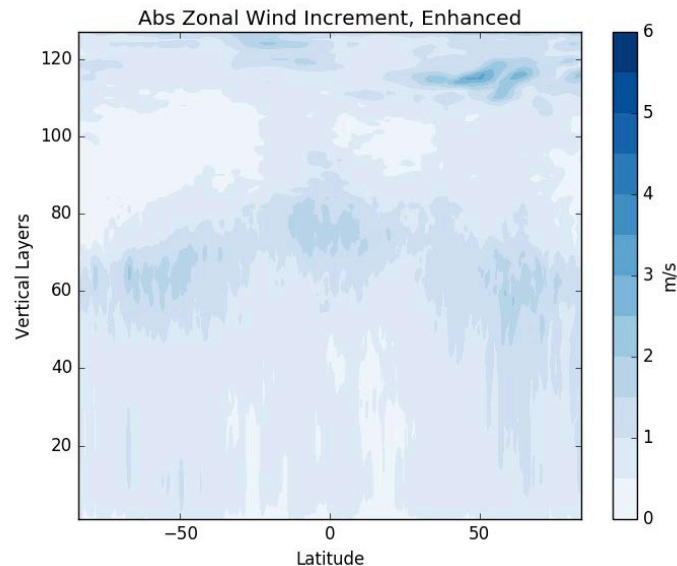
Analysis Increments for L127

(Cathy Thomas)

**Preliminary NMC static B and
original ensemble spread**



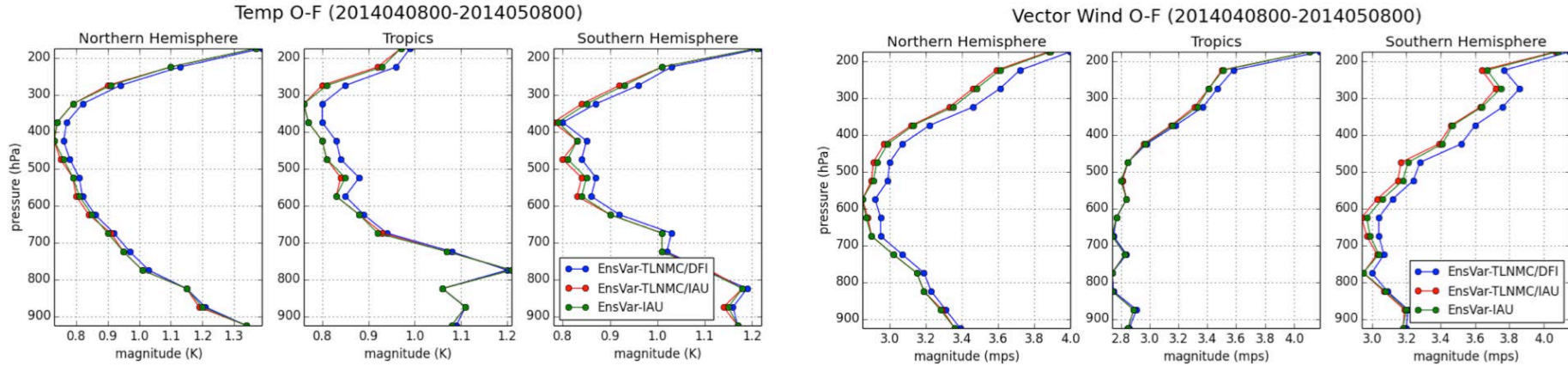
**Ensemble-generated static B and
damped ensemble spread**



Modifications to both the static and ensemble background errors have greatly reduced the size of the analysis increments at the model top where there are no constraining observations.

Incremental Analysis Update

(Lili Lei & Jeff Whitaker)



Low resolution trials of IAU (with/without TLNMC). Background fits to observations for Temperature (left) and Vector Wind (right).

**Digital Filter Initialization (DFI)
with TLNMC is the worst
performing**

**IAU+TLNMC is best
performing**

GFSv15 utilizes TLNMC only. Prior versions of GFS used DFI.

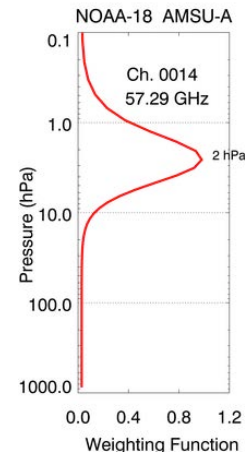
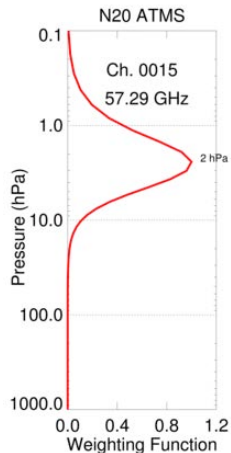


New Satellite Channels

(Yanqui Zhu)

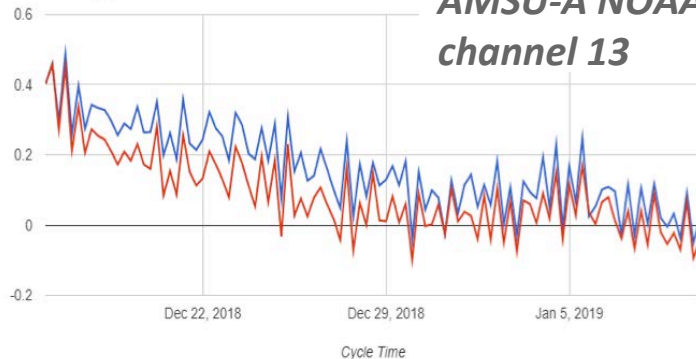
With increased model top, turn on assimilation of two new, high-peaking channels (AMSU-A ch14 and ATMS ch15)

Control (blue line) vs. assimilation of AMSU-A ch 14
& ATMS ch 15 w/o bias correction (red line).



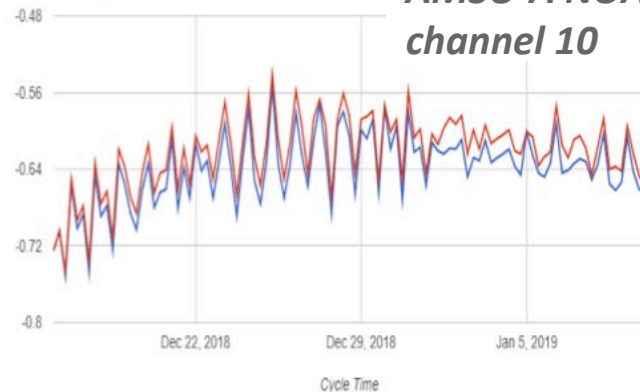
Avg Ges|Anl (w/o Bias Correction) - Obs(K)
amsua_n18, 2019011000

**AMSU-A NOAA18
channel 13**



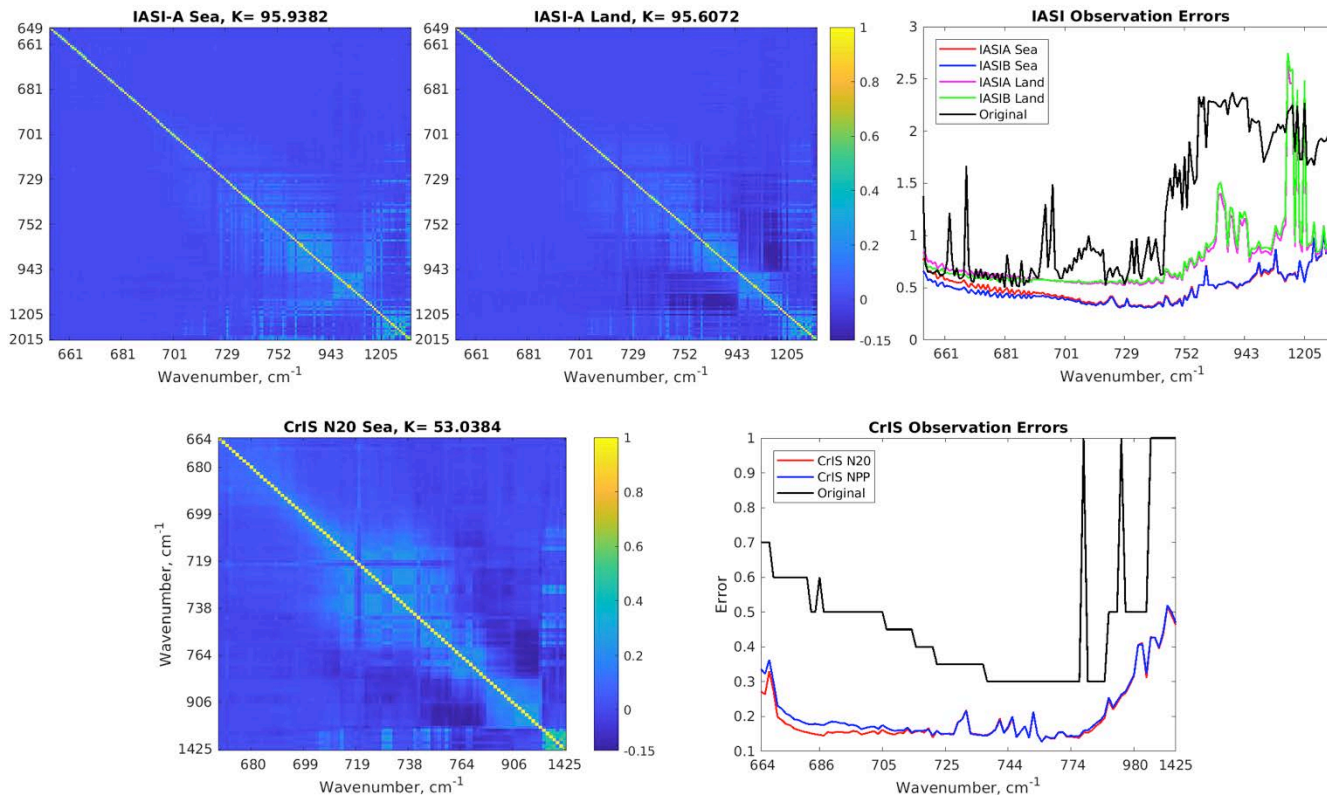
Avg Ges|Anl (w/o Bias Correction) - Obs(K)
amsua_n18, 2019011000

**AMSU-A NOAA18
channel 10**

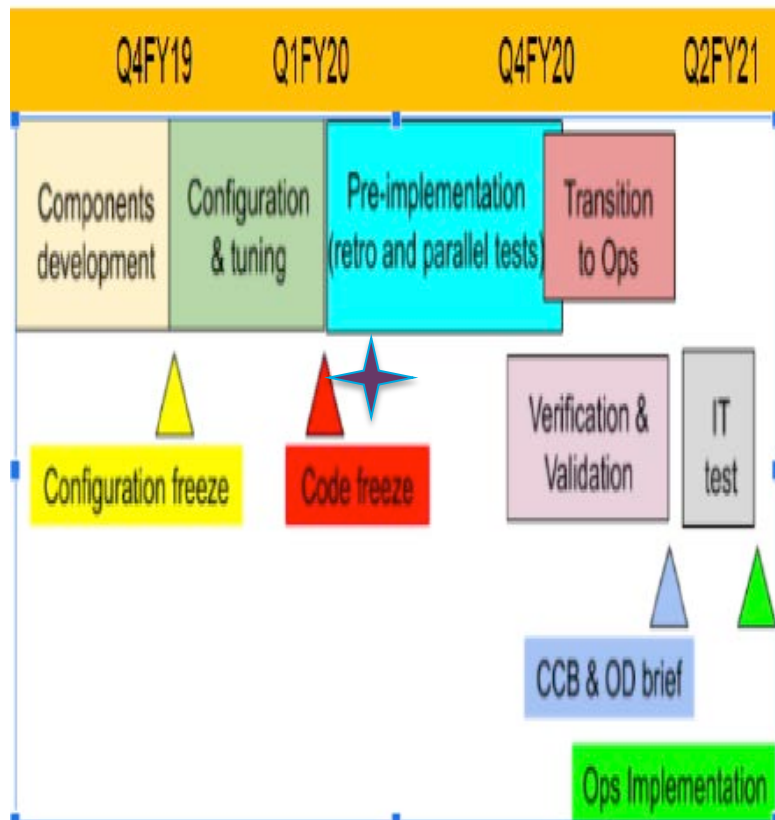


Interchannel Correlated Observation Error

(Kristen Bathmann)



Implementation Plan & Schedule



Retrospective forecasts start: March 1, 2020

Retrospective forecasts complete: July 1, 2020

MEG Evaluation Kickoff webinar: July 23, 2020

Field Evaluation: August 1, 2020 - September 15, 2020

MEG Evaluation Briefing: September 10, 2020

Field Recommendations due: September 21, 2020

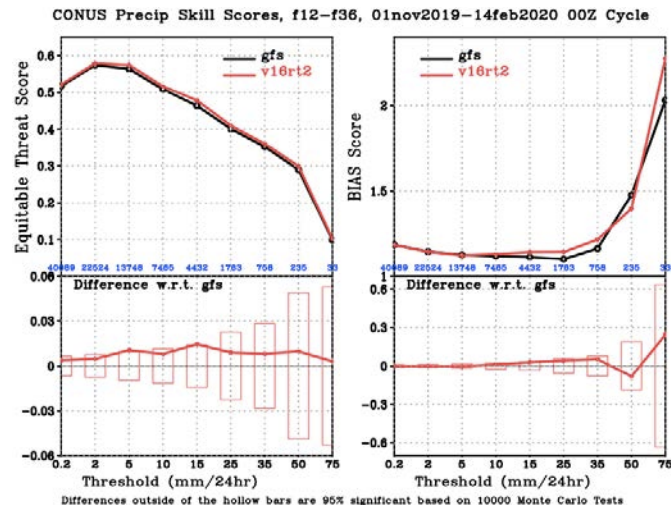
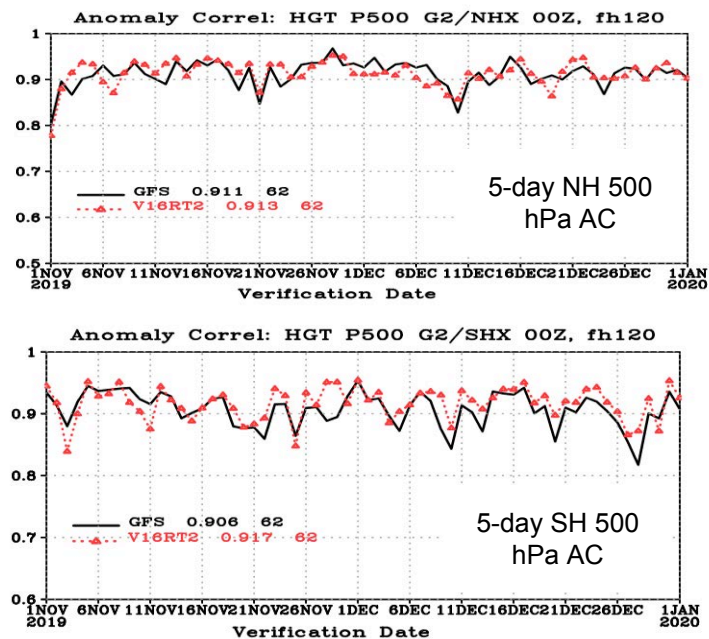
NCEP Director Brief: October 1, 2020

Code delivery to NCO: October 20, 2020

NCO 30-Day IT Test begins: December 21, 2020
(tentative)

(Very) Preliminary 127L Package Results

- Prototype, near-real time run at full resolution since ~November 1, 2019.
 - *Not a clean comparison as things are being folded in as they are ready in the run-up to starting official experiments.*
 - *Includes model and assimilation package components, including physics changes.*



CONUS QPF ETS and Bias Scores (Day 1)

And beyond...

GFSv17/GEFSv13

- Combined package, fully ***coupled*** components
- Planning to leverage/transition to JEDI (at least what's ready for implementation)

Algorithms

- Hybrid 4DVar/4DEnVar study
- Supplement with rapid update (hourly) cycling / overlapping windows?
- Coupled DA
- Further exploitation of ensemble information

JEDI

- Need to start redirecting internal efforts toward JEDI development
- Will be the backbone for performing DA in the “Unified Forecast System”
- Much work remains for transition & testing operational viability

Reanalysis and Reforecast

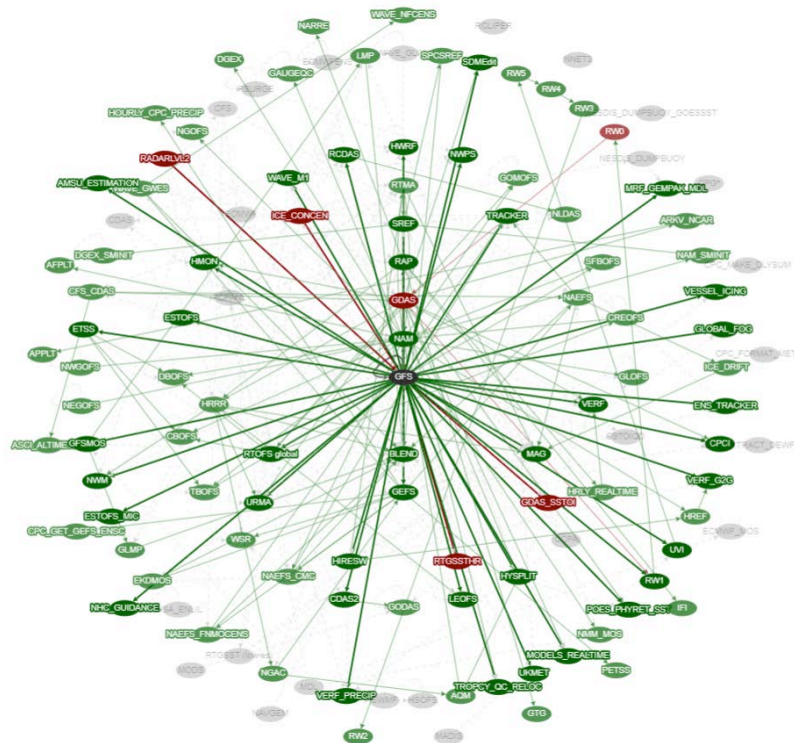
- Need to stand up sustained effort



Programmatic Changes:

*Evolution of Production Suite
Unified Forecast System
JEDI*

Current State of NCEP Production Suite

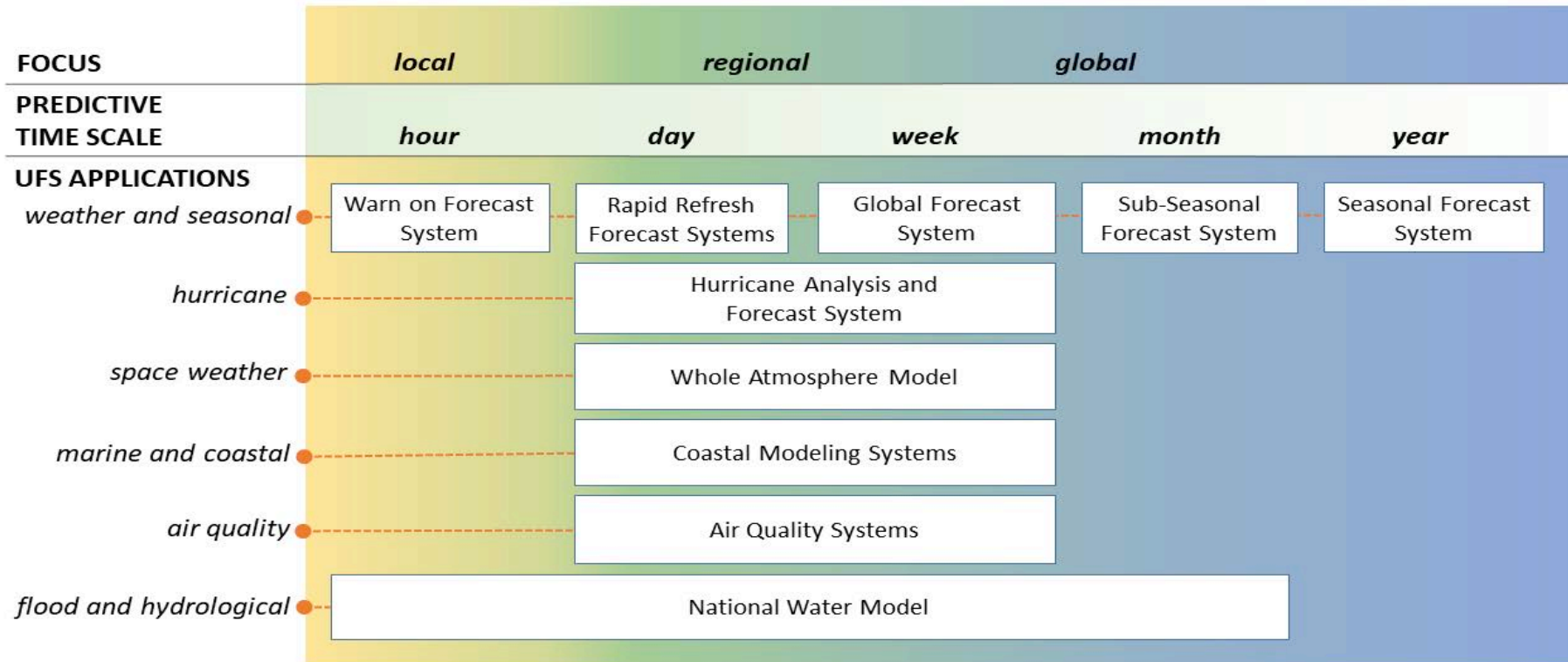


Distinct Modeling Systems of NPS:

- AQM: CMAQ North American Air Quality Model (84 hrs)
- CFS: Spectral model coupled to ocean and ice & weakly coupled DA for seasonal forecasts (9 months)
- GDAS/GFS: FV3 based atmospheric model with GSI based DA (16 days, medium range)
- GEFS: Spectral model with 21 member ensemble (16 days)
- HiRes Window: Regional NMMB (72 hrs)
- HREF: Ensembles of WRF ARW and NMMB (72 hrs)
- HRRR/RAP: Regional WRF ARW with ensemble DA (36 hrs)
- HWRF: Regional WRF NMM-E hurricane model coupled to ocean and waves (126 hrs)
- HMON: Regional NMMB hurricane model coupled to ocean (126 hrs)
- HySPLIT: Regional on-demand dust/smoke/volcanic ash prediction
- NAM: NMMB North American Mesoscale Model (84 hrs)
- NAM Nests: High-Resolution NMMB Nests (84 hrs)
- NWPS: SWAN Near Shore Wave Prediction System
- NGAC: Global Spectral Model for Aerosols (5 days)
- NLDAS: Regional Land Data Assimilation System
- NAEFS: North American Ensemble Forecast System (GEFS+Canadian Ensembles)
- NWM: WRF Hydro for Water Prediction (5 days)
- RTMA/URMA: Regional Mesoscale Analysis
- RTOFS: HyCOM Global Ocean Model (5 days)
- SREF: Short Range Ensemble with WRF ARW, NMMB (84 hrs)
- Waves: Global multigrid WaveWatch III Model (10 days)
- Wave Ensembles: Global WaveWatch III Ensembles (10 days)
- Great Lakes: WaveWatch III for great lakes (10 days)
- Space Weather: Global Spectral Whole Atmosphere Model
- Space Weather: WSA EnLil Solar Wind Prediction Model



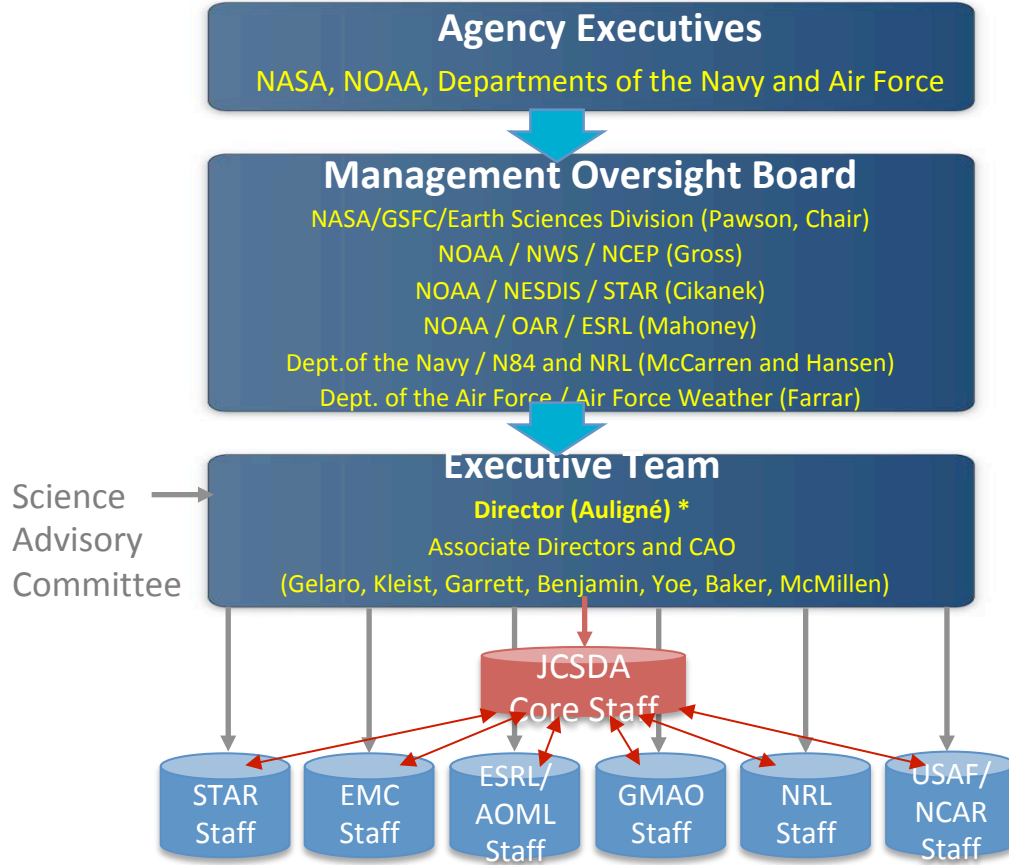
NPS Transitioning to UFS Applications



Toward a simpler, UFS-based Production Suite (notional)

NPS Modeling System	Current Version	Q1 FY 20	Q2 FY 20	Q3 FY 20	Q4 FY 20	Q1 FY 21	Q2 FY 21	Q3 FY 21 - Q2FY22 MORATORIUM	Q3 FY 22	Q4 FY 22	Q1 FY 23	Q2 FY 23	Q3 FY 23	Q4 FY 23	Q1 FY 24	Q2 FY 24	Q3 FY 24	Q4 FY 24	UFS Application
Global Weather & Global Analysis	GFS/ GDASv15						GFSv16												UFS Medium Range & Sub-Seasonal
Global Waves	GWMv3																		
Global Weather Ensembles	GEFSv11																		
Global Wave Ensembles	GWESv3																		
Global Aerosols	NGAC v2																		UFS Marine & Cryosphere
Short-Range Regional Ensembles	SREFv7																		
Global Ocean & Sea-Ice	RTOFSv1.2						RTOFSv2												UFS Seasonal
Global Ocean Analysis	GODASv2																		
Seasonal Climate	CDAS/ CFSv2																	SFSv1	UFS Seasonal
Regional Hurricane 1	HWRv12																		UFS Hurricane
Regional Hurricane 2	HMONv2																		
Regional High Resolution CAM 1	HiRes Window v7																		UFS Short-Range Regional HiRes CAM & Regional Air Quality
Regional High Resolution CAM 2	NAM nests/ Fire Wxv4																		
Regional High Resolution CAM 3	RAPv4/ HRRRv3																		
Regional HiRes CAM Ensemble	HREFv2																		
Regional Mesoscale Weather	NAMv4																		
Regional Air Quality	CMAQv5																		
Regional Surface Weather Analysis	RTMA/ URMA v2.7																		UFS Air Quality & Dispersion
Atmospheric Transport & Dispersion	HySPLITv7																		
Coastal & Regional Waves	NWPSv1.2																		UFS Coastal
Great Lakes	GLWUv3.4																		
Regional Hydrology	NWMv2																		UFS Lakes
Space Weather 1	WAM/IPEv1																		
Space Weather 2	ENLILv1																		UFS Space Weather

JCSDA Concept of Operations



Joint Center for Satellite Data Assimilation
Operating Plan 2019

110 staff (54 FTEs)
In-kind: 19 FTEs
Core (UCP): 35 FTEs



JEDI Objectives



The ***Joint Effort for Data assimilation Integration (JEDI)*** is a collaborative development between JCSDA partners.

Develop a unified data assimilation system:

- From toy models to Earth system coupled models
- Unified observation (forward) operators (UFO)
- For research and operations (including R2O/O2R)
- Share as much as possible without imposing one approach

Joint Effort for Data Assimilation Integration (JEDI)



Generic interfaces

- Models: several atmospheric models, ocean, sea-ice
- Observations: radiosondes, aircraft, CRTM, marine

Generic solvers

15+ variational including hybrid-4DVar, *EnKF*

OOPS

(Object Oriented Prediction System)

Full data assimilation generic algorithms

IODA

(Interface for Observation Data Access)

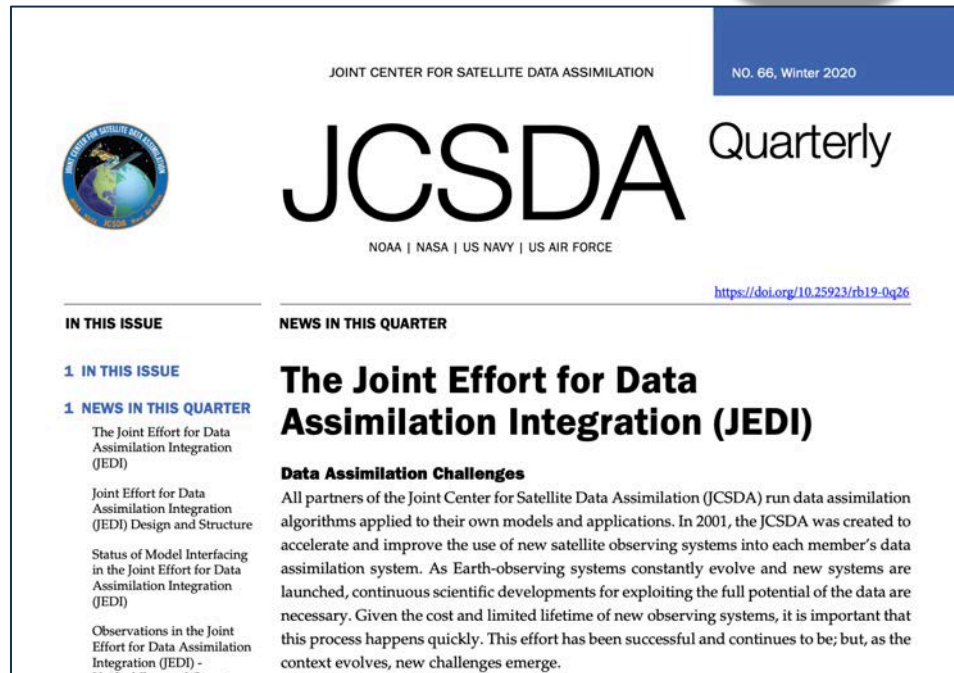
Performs all the I/O of the observations.

Allows for all kinds of operators

UFO

(Unified Forward Operator)

The 'app-store' of model-agnostic observation operators

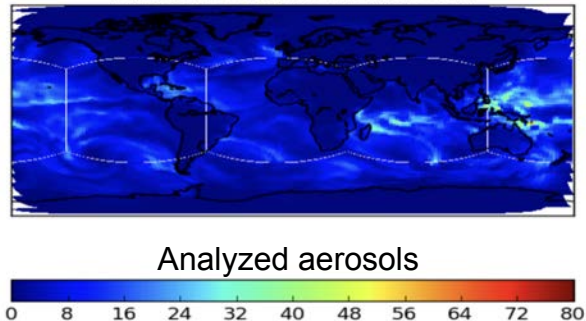


jcsda.org/newsletters

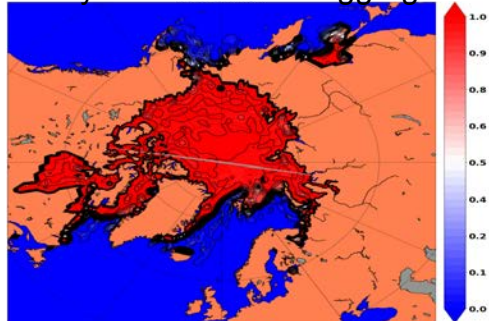
JEDI: One System with Multiple Configurations



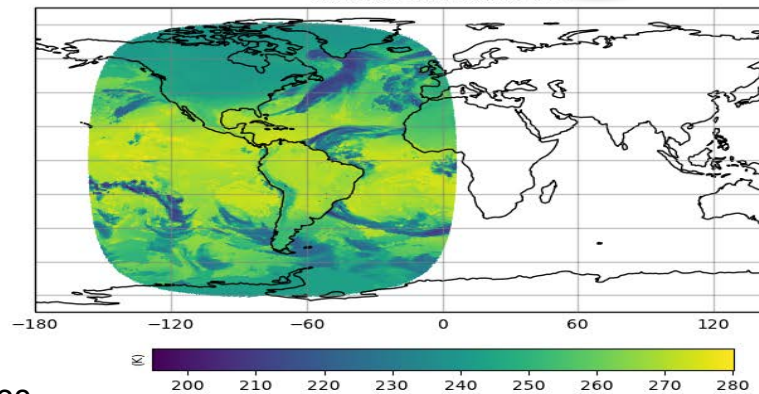
Analysis of lowest model layer
for: seas4 valid: 2018041506



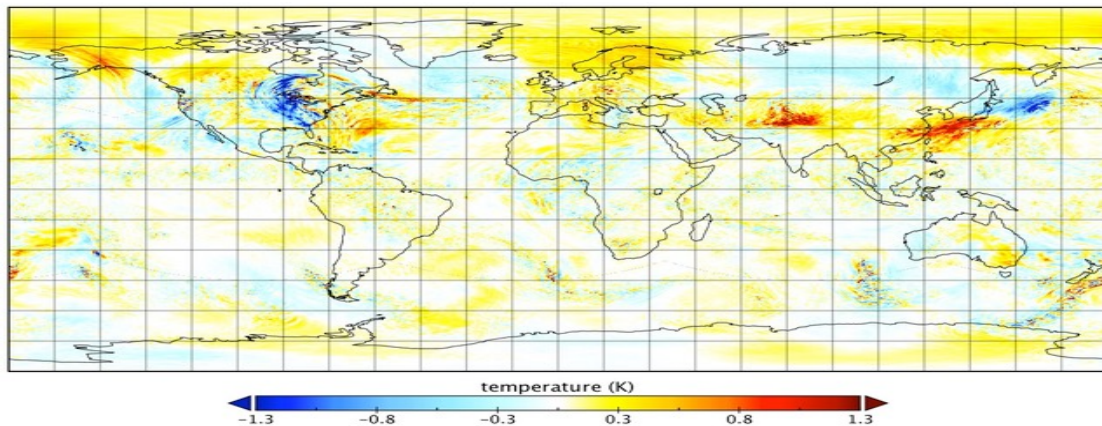
Analyzed ice fraction aggregate



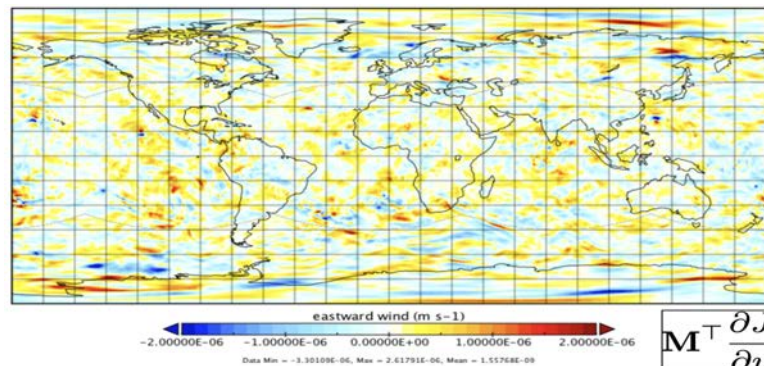
Model simulated brightness temperature
ADVANCED BASELINE IMAGER (GOES-R) channel 1
2018-04-15 000000 UTC



200 hPa T increment propagated 24h by GFSv15 on AWS (1,728 cores) in 7min20s



Adjoint Sensitivity to initial conditions @500 hPa

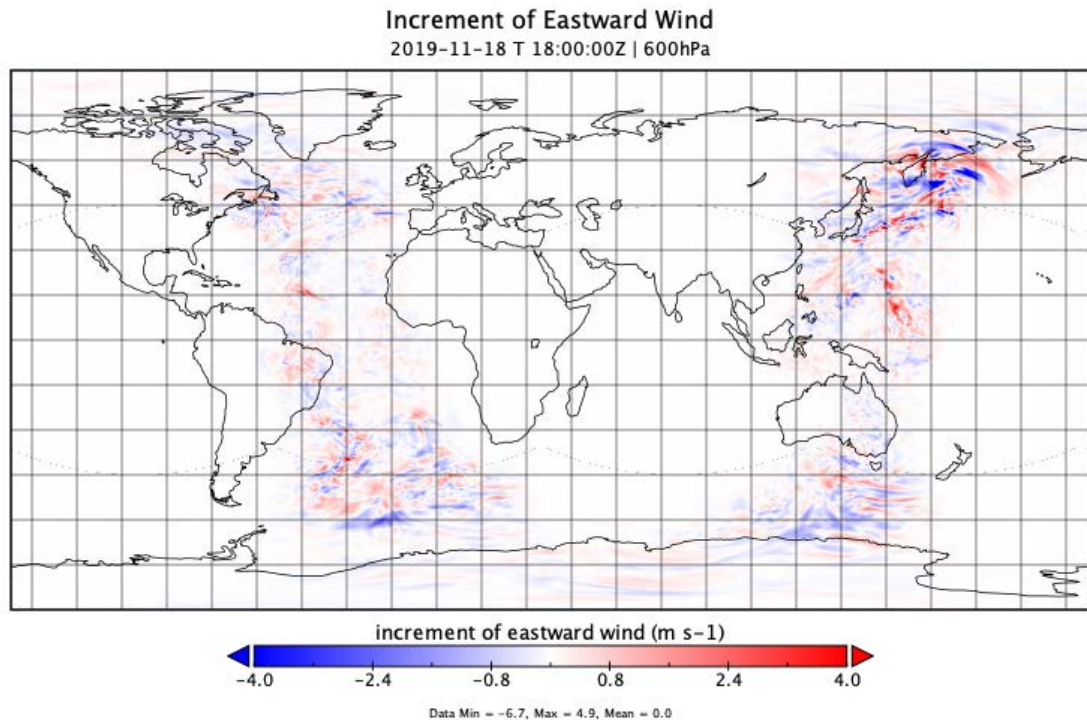
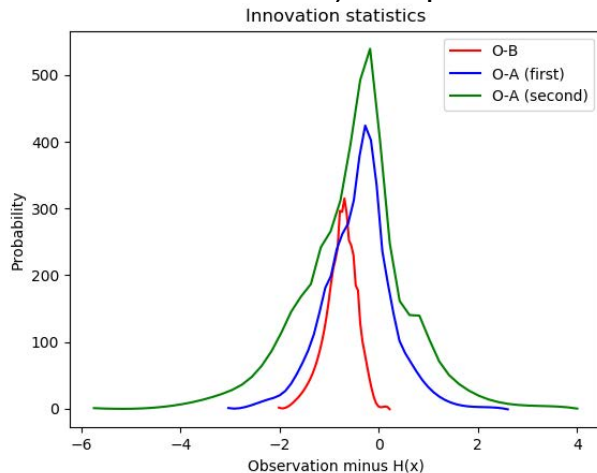


$$\mathbf{M}^T \frac{\partial J}{\partial \mathbf{u}}$$

In core data assimilation – Hybrid 4DVar



- C768 background (from ops) and forecast.
- Native grid and resolution observer.
- Pure ensemble B matrix from C384 (25km) 40 member ensemble (from ops).
- C192 (50km) increment.
- All AMSU-A NOAA 19 (~20,000 obs).
- 3 hour window
- 2 outer loops **in-core**.
- BUMP for localization, interpolation etc.



Daily Ocean Analysis (Largely JEDI-based)

Oct 1, 2011 – Nov 1, 2011

Assimilated Observations

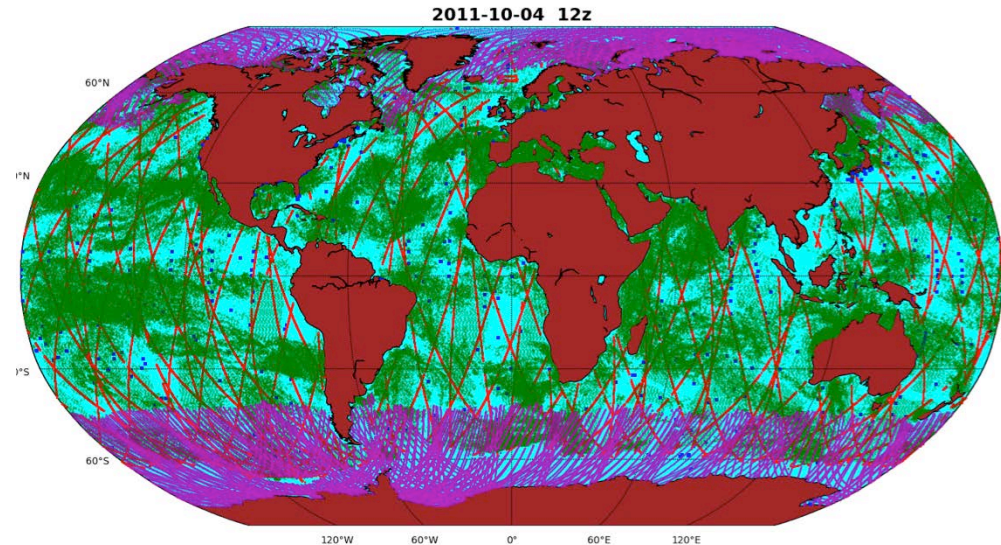
Sea Surface Temperature: AVHRR and Windsat

Absolute Dynamic Topography: Altimeters

Ice Concentration: SSMI and SSMIS

In-situ Temperature: Argo, CTD, XBT, TAO, PIRATA, RAMA

1 Million Observations per day



Summary and Moving Forward

Ensembles now integral part of operational data assimilation across scales

- Background error representation
- Initial perturbations from DA for ensemble prediction system (not covered in this talk)

Major paradigm shift under way

- Open development, community modeling
- Consolidation of production suite into applications based on UFS code base
- Coupled modeling and data assimilation across scales

Public Releases

- UFS global weather application (github, March 2020)
- JEDI – Initial public release, June 2020

Thank you!

